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Widespread Focal Cortical Alterations in Autism Spectrum Disorder with Intellectual Disability Detected by Threshold-Free Cluster Enhancement

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ABSTRACT

BACKGROUND AND PURPOSE: In the past decades, a large body of work aimed at investigating brain structural anomalies accrued in autism spectrum disorder. Autism spectrum disorder is associated with intellectual disability in up to 50% of cases. However, only a few neuroimaging studies were conducted in autism spectrum disorder with intellectual disability, and none of them benefited from a nonsyndromic intellectual disability control group.

MATERIALS AND METHODS: We performed a voxelwise investigation of the structural alterations in 25 children with autism spectrum disorder with intellectual disability by comparing them with 25 typically developing children and 25 nonsyndromic children with an intellectual disability. Besides a classic voxel-based morphometry statistical approach, the threshold-free cluster enhancement statistical approach was adopted.

RESULTS: Classic voxel-based morphometry results did not survive family-wise error correction. The threshold-free cluster enhancement–based analysis corrected for family-wise error highlighted the following: 1) widespread focal cortical anomalies and corpus callosum alteration detected in autism spectrum disorder with intellectual disability; 2) basal ganglia and basal forebrain alteration detected both in autism spectrum disorder with intellectual disability and in nonsyndromic intellectual disability; and 3) differences in the frontocingulateparietal cortex between autism spectrum disorder with intellectual disability and nonsyndromic intellectual disability.

CONCLUSIONS: The present study suggests that the frontocingulate-parietal cortex may be the eligible key region for further investigations aiming at detecting imaging biomarkers in autism spectrum disorder with intellectual disability. The detection of structural alterations in neurodevelopmental disorders may be dramatically improved by using a threshold-free cluster enhancement statistical approach.

ABBREVIATIONS: ASD = autism spectrum disorder; CC = corpus callosum; ID = intellectual disability; IQ = intelligence quotient; <math>TD = typically developing;TFCE = threshold-free cluster enhancement; VBM = voxel-based morphometry

The autism spectrum disorder (ASD) is a life-long neurodevelopmental disorder, the underlying biologic causes of which remain to be established. The ASD includes diverse endophenotypes sharing 2 clusters of core symptoms, such as persistent deficits in social communication and social interaction across multiple contexts and restricted, repetitive patterns of behaviors, interests, and activities.¹

In past decades, a large body of work has accrued investigating anomalies in ASD by using neuroimaging techniques. Most of the

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studies were performed in normally gifted (not affected by mental retardation) patients with ASD or Asperger syndrome. However, a large proportion of patients with ASD are intellectually impaired. The patients with ASD with an intelligence quotient (IQ) lower than 70 are usually referred to as having low-functioning ASD or ASD with intellectual disability (ID). Epidemiologic surveys of ASD reported up to a 50% prevalence of ASD with ID, with a degree of variation due to differences in diagnostic criteria and difficulty in assessing IQ in patients with ASD as well as genetic and environmental variables.^{2,3} Despite the high prevalence of ASD with ID, these patients were rarely examined, probably because of the difficulties in cooperation for neuroradiologic investigations.

Only a few studies performed voxelwise investigation of structural anomalies in ASD with ID,⁴⁻⁷ and none of them benefited from a nonsyndromic ID control group.

In past years, advanced nonparametric statistical approaches were developed in neuroimaging. Among these, threshold-free

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cluster enhancement (TFCE) aims to address some well-known voxel-based morphometry (VBM) criticalities, such as smoothing kernel extent, threshold dependence, and localization of the results. TFCE was shown to improve the detection of structural anomalies in neurodegenerative disease and psychiatric disorder studies.⁸⁻¹⁰ However, the nature of structural anomalies in neurodevelopmental disorders may differ from those in neurodegenerative diseases and psychiatric disorders, and detection improvements in such disorders have not yet been evaluated.

According to our hypotheses, ASD with ID may show structural differences from both typically developing (TD) children and nonsyndromic ID that can be better detected by TFCE-VBM. The present study had the following aims: 1) to detect structural anomalies in the under-researched condition of ASD with ID, also directly comparing those patients with subjects with nonsyndromic ID; and 2) to verify the improvement in detecting structural anomalies in neurodevelopmental disorders by using TFCE in comparison with the classic VBM approach.

MATERIALS AND METHODS

Participants

Twenty-five subjects with idiopathic ASD with ID (22 males, 3 females; age range, 2.4–12.7 years; mean, 6.11 ± 3.10 years) and 25 age-matched children with nonsyndromic ID (16 males, 9 females; age range, 2.1–12.4 years; mean, 7 ± 3.1 years) were recruited from patients attending the Developmental Neurology Unit from January 2008 to January 2012. All patients were evaluated by a pediatric neurologist, a clinical geneticist, and a child neuropsychologist. The patients with ASD were diagnosed in accordance with the *Diagnostic and Statistical Manual of Mental Disorders-DSM-5* criteria and confirmed by the Autism Diagnostic Interview-Revised.¹²

Twenty-five age-matched TD children (12 males, 13 females; age range, 2.1–12.3 years; mean, 6.11 ± 2.6 years) were also enrolled. The TD children were recruited among the children of the staff involved in the study and among inpatients with suspected spinal cord abnormalities whose brain and spine examination findings were normal.

Cognitive functioning was assessed by using the Wechsler Intelligence Scales,^{13,14} according to their age, and the Griffiths Mental Developmental Scale^{15,16} for children with a chronologic or mental age younger than 4 years, considering the correlation between the Wechsler Preschool Scale Full IQ and the General Quotient obtained by the Griffiths Scales.¹⁷

The parents of ID and TD children were asked to fill out the lifetime version of the Social Communication Questionnaire,¹⁸ a self-report questionnaire that provides valuable information on a child's body movements, use of language or gestures, and style of interacting, through 40 questions based on the Autism Diagnostic Interview-Revised items. It is not meant to provide a detailed diagnosis of ASD but to indicate whether a child needs a more careful and in-depth evaluation. The cutoff score is 15.

The exclusion criteria were as follows: 1) preterm birth or known pregnancy complications or perinatal injury history; 2) associated seizures or neurologic diseases, known infectious, metabolic, or genetic diseases (high-resolution karyotype, DNA analysis of fragile-X syndrome); 3) the presence of major cerebral malformations (details in Erbetta et al¹⁹); 4) anomalies of the visceral organs and/or facial dysmorphisms; 5) Social Communication Questionnaire score higher than the cutoff of 15 for children with ID and TD; 6) the presence of severe ID because the clinical differential diagnosis between ASD and severe ID is arguable and the administration of autism diagnostic tools is not recommended in such patients.

All patients and TD children younger than 6 years of age were examined under propofol sedation (1 mg/Kg).

All the examinations were performed with written informed consent of the subject's parents. The study was approved by the Ethics Committee of Fondazione Istituto di Ricovero e Cura a Carattere Scientifico (IRCCS) Istituto Neurologico C. Besta.

Data Acquisition

Volumetric T1-weighted images were acquired on a 1.5T MR imaging system (Magnetom Avanto; Siemens, Erlangen, Germany) by using a magnetization-prepared rapid acquisition of gradient echo sequence (TR = 1640 ms, TE = 2.48 ms, TI = 552 ms, FOV = 256×256 mm, matrix = 256×256 , 160 sagittal sections, voxel size = $1 \times 1 \times 1$ mm). Structural imaging included axial proton-density/T2-weighted images (TR = 3500 ms, TE = 17/84ms, FOV = 208×256 mm, matrix = 208×256 , section thickness = 5 mm) and coronal turbo spin-echo T2-weighted images (TR = 4100 ms, TE = 143 ms, FOV = 324×384 mm, matrix = 324×384 , section thickness = 5 mm). Structural imaging was visually assessed by a senior neuroradiologist for the presence or absence of supratentorial and infratentorial abnormalities and signal-intensity changes in patients and TD subjects.

Image Processing

A VBM study was conducted to investigate the local differences in GM and WM volume among ASD with ID, TD, and ID groups. The T1-weighted volumetric images were analyzed with the Statistical Parametric Mapping 8 (SPM8) package (http://www.fil. ion.ucl.ac.uk/spm/software/spm12/) and VBM8 toolbox (http:// dbm.neuro.uni-jena.de/vbm8/). We used the Diffeomorphic Anatomical Registration by using the Exponentiated Lie algebra (DARTEL) algorithm,²⁰ implemented in VBM8 to generate a study-specific template and to perform the registration of the subjects to that template.²¹

The VBM preprocessing steps are as follows: 1) checking for scanner artifacts and gross anatomic abnormalities in each subject; 2) image origin setting to the anterior commissure; 3) VBM8 segmentation of brain tissues with default settings based on the DARTEL template in Montreal Neurological Institute space derived from the IXI data base (http://www.brain-development. org); 4) creation of a study-specific template from all the segmented images by using the VBM8 toolbox; 5) a second VBM8 segmentation of brain tissues, with alignment of the subjects to the study-specific template and "nonlinear only" modulation to account for different individual brain sizes; 6) check for suboptimal overlapping by visual inspection of the normalized images and dishomogeneities by using a covariance matrix; and 7) spatial smoothing of the preprocessed GM and WM images, applying

| Total volumes in ASD with ID, ID, and TD groups and 2-way | / unrelated ANOVA output |
|---|--------------------------|
|---|--------------------------|

| | ASD with ID (Mean) (SD) | | ID (Mean) (SD) | | TD (Mean) (SD) | | | |
|---------------|-------------------------|---------------|----------------|----------------|----------------|---------------|--------------------|-------------------|
| | Male | Female | Male | Female | Male | Female | 2-Way ANOVA (Mean) | |
| TIV (mL) | 1396.1 (116.2) | 1222.2 (61.7) | 1293.5 (136.2) | 1177.7 (105.7) | 1326.7 (100.1) | 1235.5 (74.7) | Group | 1.910 ± .156 |
| | | | | | | | Sex | $16.793 \pm .000$ |
| | | | | | | | Group $	imes$ sex | .523 ± .595 |
| Total GM (mL) | 724.0 (92.4) | 569.6 (55,1) | 662.6 (71,5) | 628.2 (83,4) | 654.3 (40.8) | 602.5 (73.4) | Group | .377 ± .687 |
| | | | | | | | Sex | $2.373 \pm .000$ |
| | | | | | | | Group $	imes$ sex | $14.003 \pm .101$ |
| Total WM (mL) | 411.8 (54.4) | 353.7 (31.8) | 378.4 (53.1) | 330.7 (47.3) | 387.5 (40.9) | 361.3 (31.7) | Group | 1.668 ± .196 |
| | | | | | | | Sex | $10.874 \pm .002$ |
| | | | | | | | Group $	imes$ sex | .532 ± .590 |
| CSF (mL) | 260.2 (53.6) | 298.9 (56.7) | 252.5 (55.7) | 218.8 (51.3) | 284.8 (55.9) | 271.7 (51.4) | Group | 4.449 ± .015 |
| | | | | | | | Sex | .032 ± .858 |
| | | | | | | | Group $	imes$ sex | 1.639 ± .202 |

Note:-TIV indicates total intracranial volume.

different isotropic Gaussian kernels such as 2-, 4-, 8-mm full width at half maximum kernels.

The GM, WM, and CSF volumes were calculated by the relevant VBM8 function, and the total intracranial volume, as the sum.

Statistical Analysis

Differences in age, total intracranial volume, total GM, total WM, and CSF volumes among the 3 groups were assessed by 2-way ANOVA by using group (ASD with ID, ID, and TD) and sex as independent variables. One-way ANOVA was performed to assess IQ differences.

Classic Parametric Analysis

A parametric statistical analysis based on the random field theory was performed. Two 1-way ANOVAs were designed by using the general linear model to investigate local GM and local WM volume differences among the 3 groups. We used age, sex, IQ, and total GM/WM volume as covariates in the local GM/WM volume analysis to correct for any differences due to nuisance variables. *T* tests were performed to investigate group differences in local GM/WM volume between the following: 1) ASD with ID and TD, 2) ASD with ID and ID, 3) ID and TD.

The above-described classic analysis was performed on normalized and modulated GM/WM images with the standard smoothing level (8-mm full width at half maximum) and, in addition, with lower smoothing levels (4-mm, 2-mm full-width half-maximum) to allow comparison with the following nonparametric approach.

Threshold-Free Cluster Enhancement Analysis

A TFCE nonparametric permutative statistical analysis was performed. The TFCE method is extensively described in the related article¹⁰ and implemented in the freely available toolbox (http:// dbm.neuro.uni-jena.de/tfce/). Briefly, the TFCE algorithm takes an input raw statistical image and produces an output image in which the voxelwise values represent the amount of clusterlike local spatial support. Then, for each contrast, the group labels are randomly permuted to obtain an empirically derived null distribution against which one can compare the observed effects.

In the same way as in the classic parametric analysis, two 1-way ANOVAs with 4 nuisance regressors (age, sex, IQ, and total GM/WM volume) were designed by using the general linear model to investigate local GM and WM volume differences. Then, TFCE nonparametric tests were performed to investigate group differences in local GM/WM volume between the following: 1) ASD with ID and TD, 2) ASD with ID and ID, and 3) ID and TD. The group labels were randomly permuted 5000 times (default setting) for each test. A Bonferroni correction was applied to each pair-wise test in order to correct for multiple comparisons across groups.

TFCE does not explicitly require image smoothing and allows small smoothing kernels.¹⁰ Thus, the above-described TFCE analysis was performed on normalized and modulated GM/WM images with no smoothing and, in addition, with 2- and 4-mm full width at half maximum isotropic Gaussian kernels.

RESULTS

The 3 groups were matched for age (F = 0.16, P = .985), and the 2 clinical groups were comparable for IQ. The mean IQ was 56 \pm 7 (range, 41–66) and 53 \pm 10 (range, 37–66) for ASD with ID and ID respectively (t = -1.127, P = .266). The mean IQ in TD was 103 \pm 9 (range, 91–126), which is significantly higher than that in the 2 clinical groups (F = 251.41, P = .000).

Increased Total Volumes in ASD with ID

The 2-way unrelated ANOVA showed that a significant effect was obtained on total GM volume, total WM volume, and total intracranial volume for sex but not for group and their interactions. Males had total volume measures higher than females in all 3 groups. The main effect of group on CSF volume is significant, with significantly less volume in those with ID compared with TD (P = .016). Total volumes in the ASD with ID, ID, and TD groups and 2-way unrelated ANOVA output are shown in the Table.

Classic Parametric VBM Analysis

No differences in local GM volumes and local WM volumes among the 3 groups survived the multiple comparison correction (P < .05 family-wise error–corrected), regardless of the smoothing kernel applied during preprocessing.

TFCE-Based VBM Analysis

The TFCE-based analysis was sensitive to local GM and WM volume differences (P < .05 family-wise error–corrected), and Bonferroni-corrected P values were lower than the significant threshold (.05), regardless of the smoothing kernel applied during



FIG 1. TFCE nonparametric analysis (P < .05 family-wise error-corrected) based on unsmoothed images. A, ASD with ID shows cortical and subcortical GM decrease (red) and WM decrease (yellow) in comparison with TD. B, ID shows subcortical GM decrease (green) in comparison with TD. C, ASD with ID shows cortical GM decrease (blue) in comparison with ID.

preprocessing. The effect of the smoothing was an enlargement of the blobs. TFCE nonparametric analysis results based on unsmoothed images are shown in Figure.

Widespread Cortical and Subcortical Anomalies in ASD with ID

ASD with ID showed widespread cortical and subcortical GM decreases, compared with TD (Fig 1*A*, red). Frontobasal regions together with the anterior cingulate cortex and dorsolateral prefrontal cortex compounded the largest and most statistically significant cluster. More spotty differences were detected in the middle and posterior cingulate; parietal (precuneus and superior parietal lobule bilaterally, left inferior parietal lobule), occipital (cuneus bilaterally, left lateral occipital cortex, and right superior occipital gyrus), and temporal (right superior temporal gyrus and left middle temporal gyrus) cortices; insula; and thalamus.

Analysis focused on WM revealed decreased WM in the body, genu, and forceps minor of the corpus callosum (CC) (Fig 1*A*, yellow). Increased WM was detected in a small area in the midbrain.

Subcortical Anomalies in ID

GM decrease in ID compared with TD was detected in the basal ganglia and basal forebrain (Fig 1*B*, green). Two small areas of increased WM were found in the midbrain and the medulla oblongata.

Frontocingulate-Parietal Differences between ASD with ID and ID

GM decrease in ASD with ID compared with ID was detected in the fronto-orbital cortex, middle and posterior cingulate cortices, and precuneus (Fig 1C, blue).

DISCUSSION

Classic VBM voxel-based inference and cluster-based inference are known to carry some criticisms such as smoothing kernel extent and statistical threshold.^{22,23} In theory, the definition should be based on the expected study-specific effect (that is actually unknown), while in common practice, the extent of the smoothing kernel and the statistical significance threshold are defined arbitrarily. TFCE was proposed to address the criticisms of smoothing kernel extent, threshold dependence, and localization of the results and to optimize the detection of both diffuse, low-amplitude signals and sharp, focal signals, while keeping strong control over family-wise error.¹⁰ Moreover, while cluster-based inference requires an adjustment to ensure homogeneity of the local smoothness, TFCE inference is robust to nonstationarity.²⁴ Several recent studies transversely adopted TFCE in several neuroimaging modalities, and it was demonstrated that it improves the sensitivity of VBM statistical analysis in neurodegenerative and psychiatric diseases.8-10

Our goal was to detect structural anomalies in the underresearched condition of ASD with ID, also directly comparing it with nonsyndromic ID, and to verify the improvement of structural anomaly detection in neurodevelopmental disorders by using TFCE. In this study, no significant voxelwise differences among the groups survived correction for multiple comparisons by using a classic parametric VBM approach, and significant voxelwise differences among the groups survived correction for multiple comparisons by using a TFCE nonparametric statistical approach. The cortical and subcortical alterations in ASD with ID are discussed in the following paragraphs.

Widespread Focal Cortical Alterations in ASD with ID

Local GM volume decrease was distributed throughout the lobes in ASD with ID. The larger the smoothing kernel applied during image preprocessing, the larger were the blobs. When no smoothing was applied, anomalies were characterized by a focal appearance, the attenuation of which seemed not constant across the cortical lobes. Indeed, such attenuation seemed to follow a negative gradient from the ventromedial prefrontal cortex to the occipital and temporal regions, through the cingulate and medial parietal cortex. Image smoothing did impact on anomaly focality and made it difficult to observe the variation of focal abnormality attenuation across the lobes. Thus, we showed the results based on unsmoothed images. However, we used the analysis based on smoothed images to exclude results based on the unsmoothed images being due to noise and to make classic and TFCE-based VBM analyses comparable (ie, the same preprocessing pipeline). The TFCE method allows the use of unsmoothed images that in turn, allows investigating focal cortical anomalies.

Among the cortical regions altered in ASD with ID, significant differences in the frontocingulate-parietal cortex were also detected between ASD with ID and ID, while ID had no significant anomalies in cortical regions. The frontocingulate-parietal network involves cortical areas that some authors have assumed to underlie general intelligence,²⁵ while others are associated with specific higher cognitive abilities, such as working memory or executive functions.²⁶ The present study suggests that the frontocingulate-parietal cortex may be the eligible key region for further investigations aiming at detecting imaging biomarkers in ASD with ID.

The widespread focal cortical anomalies in ASD with ID shown in the present study might be related to patches of disorganization in the neocortex²⁷; subtle focal cortical dysplasias are most abundant within the prefrontal lobes and are explicitly associated with focal and distributed thinning of the cortex in postmortem investigations.²⁸

Corpus Callosum Alterations in ASD with ID

The genu and body of the CC showed decreased local WM volume in ASD with ID. The CC is one of the most consistently altered regions in ASD, according to conventional MR imaging²⁹ and DTI.³⁰ The anterior sector of the CC seems to be more involved than the posterior sectors.³¹ WM decrease in the genu and body of the CC supports the hypothesis of impaired interhemispheric communication, particularly involving the frontal and parietal regions.

No significant differences were detected in the CC by comparing ASD with ID and ID as well as ID and TD. Diffusion MR imaging studies are needed to subcharacterize the WM in ASD with ID.

Basal Ganglia Alterations Shared between ASD with ID and ID

Alterations of the basal ganglia were repeatedly described in patients with ASD, and they were often found to correlate with impaired motor performance or repetitive and stereotyped behavior.^{32,33} Alterations in the basal forebrain were also previously described in patients with ASD with ID.⁶ The basal forebrain comprises a group of structures located in the medial and ventral surface of the frontal lobe, implicated in a number of cognitive functions and social behavior patterns.³⁴

In the present study, local volumetric anomalies in the basal ganglia and basal forebrain were detected in both ASD with ID and ID. Further multimodal research in the complex basal ganglia system is needed to deepen the role of basal ganglia alteration in neurodevelopmental disorders.

Present Limitations and Future Prospects

One limitation of the present study may be the restricted number of participants (75 children in total). Twenty-five participants in each group were the largest sample available, taking into account image artifacts, exclusion criteria, IQ matching between the 2 clinical groups, and age matching among the 3 groups. Larger samples would also allow studying the dynamics of the structural anomalies across ages. Although our experimental model controlled for sex differences and related total volume differences, the study would have benefited from sex matching and a balanced sex ratio.

In addition, the comparison with a group with ASD and normal intelligence would deepen the comprehension of the structural anomalies in ASD with ID.

The administration of propofol to only TD children younger than 6 years of age might impact the results. To exclude this outcome, we performed quantitative image quality control by the relevant tool available in VBM8, besides a qualitative visual check of original and preprocessed images. The images of the unsedated TD subjects did not differ from those of subjects who were administered propofol.

Future neuroimaging research ought to focus on studying the different features that characterize the cortical surfaces (ie, intensity, cortical thickness, surface area, sulcal depth, gyrification) in ASD with ID, taking into account the focal nature of the expected anomalies. In light of the recent postmortem studies on the laminar architecture in ASD,^{27,28} the investigation into each of the 6 cortical layers would be crucial. However, in vivo detection of a particular cortical layer is still a challenge for MR brain imaging and future developments might allow ultra-high-resolution acquisitions in clinical settings.

CONCLUSIONS

The present voxelwise structural MR imaging investigation shows widespread focal cortical anomalies and subcortical alterations in ASD with ID. The comparison with nonsyndromic ID suggests the frontocingulate-parietal cortex as the key region eligible for further investigations to detect imaging biomarkers in ASD with ID. The detection of structural alterations in neurodevelopmental disorders may be dramatically improved by the TFCE statistical approach.

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